

# **Consistency and Optimality**

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## **S.-D. Friedman's question**

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Can  $T^* = T \cup \{Con_T\}$  be understood as a “minimal” extension of  $T$  ?

### Main Result

“Knowing  $Con_T$  means knowing some algorithm that is as fast as any algorithm  $T$  knows and knowing that it is that fast.”

## Optimality

$A, B$  algorithms deciding  $Q \subseteq \{0, 1\}^*$ .

$A$  is **as fast as**  $B$  iff  $t_A(x) \leq p(t_B(x) + |x|)$  for some polynomial  $p$ .

## Optimality

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$A$  is **as fast as**  $B$  iff  $t_A(x) \leq p(t_B(x) + |x|)$  for some polynomial  $p$ .

$A$  is **optimal** iff it is as fast as any algorithm deciding  $Q$ ,

i.e. for all algorithms  $B$  deciding  $Q$  there is a polynomial  $p$  such that for all  $x \in \{0, 1\}^*$

$$t_A(x) \leq p(t_B(x) + |x|).$$

## **Open question**

Is there a  $Q \in \text{NP} \setminus \text{P}$  with an optimal algorithm?

## **Levin**

NP search problems have optimal algorithms.

## **Krajíček, Pudlák, Sadowski**

SAT has an optimal algorithm iff both SAT and TAUT have p-optimal proof systems.



## **Open question**

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SAT has an optimal algorithm iff both SAT and TAUT have p-optimal proof systems.

## **Blum, McCreight, Meyer**

E-hard problems do not have optimal algorithms.

## **Theorem**

There is a  $Q \in \text{E} \setminus \text{P}$  with an optimal algorithm.

## **Theorem**

Yes, if the Measure Hypothesis holds true.

## Fast diagonal algorithms I

### Observation

Assume  $\mathcal{D}$  is a c.e. set of algorithms deciding  $Q$ . Then there is  $A$  deciding  $Q$  that is as fast as every  $B \in \mathcal{D}$ .

For  $\mathcal{D} := \{B \mid B \text{ } T\text{-provably decides } Q\}$  and c.e.  $T$

...

## Theories

Fix some decidable  $Q$  and  $A_0$  deciding  $Q$ .

consider theories  $T$  in the language  $\{+, \cdot, 0, 1, \leq\}$ .

natural  $n$  is denoted by term  $\dot{n}$ .

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natural  $n$  is denoted by term  $\dot{n}$ .

$B$   $T$ -provably decides  $Q$  iff  $T$  proves “ $\dot{B}$  decides  $Q$ ”.

“ $u$  decides  $Q$ ” :=  $u$  always halts and

$$\forall xy'zz'(Run(\dot{A}_0, x, y, z) \wedge Run(u, x, y', z') \rightarrow y = y')$$

## Fast diagonal algorithms II

### Observation

Assume  $\mathcal{D}$  is a c.e. set of algorithms deciding  $Q$ . Then there is  $A$  deciding  $Q$  that is as fast as every  $B \in \mathcal{D}$ .

For  $\mathcal{D} := \{B \mid B \text{ } T\text{-provably decides } Q\}$  and c.e.  $T$

...get  $A$  as fast as any algorithm  $T$ -provably decides  $Q$ .

**provided** any  $B \in \mathcal{D}$  decides  $Q$ .

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### **Proposition**

No c.e. theory is sound and complete for  $Q$ -decision.



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### **Proposition**

No c.e. theory is sound and complete for  $Q$ -decision.

### **Proposition**

$Q$  has an optimal algorithm  $\iff$  there is a c.e. theory  $T$  that is sound and **almost** complete for  $Q$ -decision.

for all  $B$  deciding  $Q$  there is  $A$  that  $T$ -provably decides  $Q$  and is as fast as  $B$ .

## Fast diagonal algorithms III

### Observation

Assume  $T$  is c.e. and **sound for  $Q$ -decision**.

Then there is  $A_T$  that is as fast as every  $B$  that  $T$ -provably decides  $Q$  and  $A_T$  decides  $Q$ .

## Fast diagonal algorithms III

### Observation

Assume  $T$  is c.e. and **sound for  $Q$ -decision**.

Then there is  $A_T$  that is as fast as every  $B$  that  $T$ -provably decides  $Q$  and  $A_T$  decides  $Q$ .

### Lemma

Assume  $T$  is c.e. and **consistent,  $\Sigma_1^0$ -complete**.

Then there is  $A_T$  that is as fast as every  $B$  that  $T$ -provably decides  $Q$  and  $A_T$  decides  $Q$ .

*Proof.* Work with

$\mathcal{D} := \{ \text{"}B \text{ and } A_0 \text{ in parallel"} \mid B \text{ } T\text{-provably decides } Q \}$ .

## Fast diagonal algorithms III

### Observation

Assume  $T$  is c.e. and **sound for  $Q$ -decision**.

Then there is  $A_T$  that is as fast as every  $B$  that  $T$ -provably decides  $Q$  and  $A_T$  decides  $Q$ .

### Lemma

Assume  $Q \notin \mathbf{P}$  and  $T$  is c.e. and  $\Sigma_1^0$ -complete.

Then there is  $A_T$  that is as fast as every  $B$  that  $T$ -provably decides  $Q$  and

$T$  is **consistent**  $\iff A_T$  decides  $Q$ .

*Proof.* Work with

$\mathcal{D} := \{ \text{"}B \text{ and } A_0 \text{ in parallel"} \mid B \text{ } T\text{-provably decides } Q \}$ .

## Characterization of $Con_T$ .

Let  $Q \notin \mathbf{P}$ , decidable and let  $T_0$  be a suitable finite, true theory.

Given a c.e.  $T \supseteq T_0$  one can compute  $A_T$  such that

(1)  $A_T$  is as fast as every  $B$  that  $T$ -provably decides  $Q$ .  
Furthermore  $T_0$  proves this.

(2) For every c.e.  $T^* \supseteq T$ :

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(2) For every c.e.  $T^* \supseteq T$ :

$T^*$  proves  $Con_T \iff A_T$   $T^*$ -provably decides  $Q$

$\iff$  there is  $A$  that  $T^*$ -provably decides  $Q$  and  $T^*$  proves

$\forall u \left( u \text{ } T\text{-provably decides } Q \rightarrow A \text{ is as fast as } u \right)$ .

## Special case I

### Corollary

Assume ZFC is consistent. Then there is  $Q$  such that

(1) there is no algorithm that decides  $Q$  as fast as any algorithm deciding  $Q$ .

(2) there is an algorithm that decides  $Q$  as fast as any algorithm ZFC-provably deciding  $Q$ .

## Special case II

### Blum, Mc Creight, Meyer

If  $Q$  is E-hard, then there is a computable  $g$  such that

(1) if  $A$  decides  $Q$ , then so does  $g(A)$ .

(2)  $A$  is not as fast as  $g(A)$ .



## Special case II

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### Corollary

There is a finite true  $T_1$  such that for all consistent c.e.

$T \supseteq T_1$ :

$$T \not\vdash \text{Con}_T.$$

## Proof

*Argue in  $T$ :*

$Con_T$

$\rightarrow A_T$  decides  $Q$  (Lemma)

$\rightarrow g(A_T)$  decides  $Q$  (BMcCM)

*Argue outside  $T$ :*

$T \vdash Con_T$

$\Rightarrow g(A_T)$   $T$ -provably decides  $Q$

$\Rightarrow A_T$  is as fast as  $g(A_T)$  (Lemma)

$\Rightarrow A_T$  does not decide  $Q$  (BMcCM)

$\Rightarrow T$  is inconsistent (Lemma).

Thank you.