

# Phase transitions related to the pigeonhole principle

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- 1 INTRODUCTION
  - SHORT HISTORY
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  - DENSITY FOR  $\forall k \text{ RT}_k^1$
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  - DENSITY FOR  $\text{RT}_2^1$
- 3 FUTURE RESEARCH

## Gödel's first incompleteness theorem (1931)

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## Different examples, different theories

Goodstein's theorem, Finite Kruskal's Theorem, H. Friedman's BRT and other combinatorial statements, etc.

Infinitary ( $2^{\text{nd}}$  order) statement possessing certain strength.



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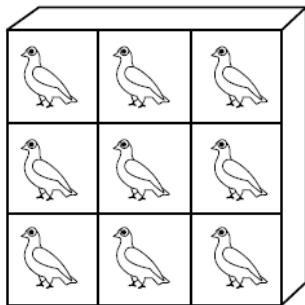
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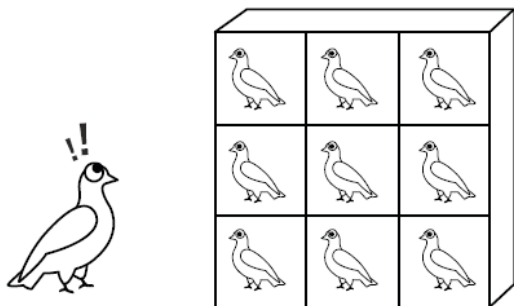
## Our approach: densities

J. Paris introduced densities in the late 70's to obtain independence results.

THE PIGEONHOLE PRINCIPLE



THE PIGEONHOLE PRINCIPLE



Dirichlet (1834, *Schubfachprinzip*)

If  $n + 1$  pigeons have been put into  $n$  pigeonholes, then at least one pigeonhole will contain more than 1 pigeon.

- Let  $n, k \in \mathbb{N}$   
 $RT_k^n$

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- (Infinite) Ramsey's Theorem (1930):  $\forall n \forall k RT_k^n$
- The pigeonhole principle (PHP): finite version of  $RT_k^1$
- (J. Hirst, 1987) For any natural number  $k$ ,

$$RCA_0 \vdash RT_k^1,$$

whereas

$$RCA_0 \not\vdash \forall k RT_k^1.$$

(even  $WKL_0 \not\vdash \forall k RT_k^1$ )

## Densities related to the pigeonhole principle

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- We study  $RT_2^1$  and  $\forall k RT_k^1$ .
- Open problem on Ramsey's theorem for pairs and two colors ( $RT_2^2$ ) in reverse mathematics.
  - Simpson [1998]:  $n \geq 3$  and  $k \geq 2$ :

$$RCA_0 \vdash RT_k^n \leftrightarrow ACA_0$$

- Seetapun and Slaman [1995]:

$$RCA_0 \vdash RT_2^2 \not\rightarrow ACA_0$$

Strength of  $RT_2^2$ ?

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## DEFINITIONS

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- If  $f: \mathbb{N} \rightarrow \mathbb{N}$  is increasing and unbounded, then

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- $A_d = d$ th approximation/branch of the Ackermann function  $A_\omega$ .

# THEOREMS

## Theorem 1 - Lower bound

Let  $d \in \mathbb{N}$ . If  $f(i) = i^{\frac{1}{A_d^{-1}(i)}}$ , then

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## Theorem 2 - Upper bound

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## Proof

CLAIM: Let  $Y \subseteq [a, b]$ . If  $|Y| > 2^{A_d(a2^{k+1})2^k}$ , then  $Y$  is  $k$ -PHP-dense( $f$ ).

(Proof by induction on  $k$ .)



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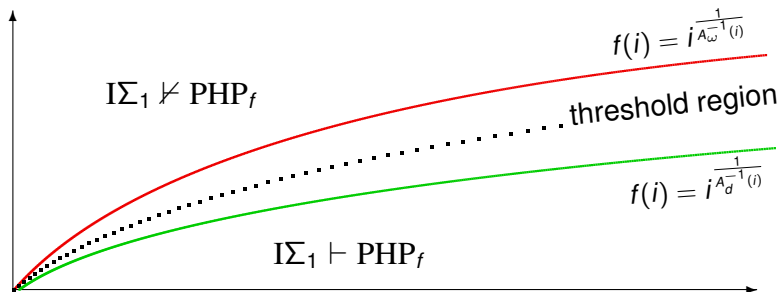
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## Fundamental sequence - informal

A fixed assignment of fundamental sequences  $\lambda[n]$  for (countable) limits  $\lambda$  such that  $\lambda[n] \rightarrow \lambda$  as  $n \rightarrow \infty$ .

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$$\text{PHP2}_f := (\forall a)(\exists b)([a, b] \text{ is } (\omega^2, 2)\text{-dense}(f)).$$

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CLAIM: Let  $Y \subseteq [a, b]$ . If  $|Y| > 2^{A_d(2^{a+2})2^k}$  is  $(\omega \cdot k, 2)$ -dense( $f$ ).  
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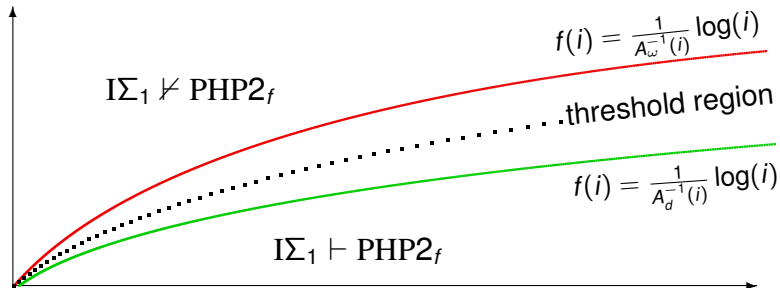
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# PHASE TRANSITION FOR $PHP2_f$



## Combinatorial statements

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- $\alpha$ -PHP2-density for  $\alpha > \omega^2$ .
- Other notions of density closely related to  $\text{RT}_k^n$  involving ordinals.

# THANKS - PERSONAL INFORMATION

Thank you for listening!

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